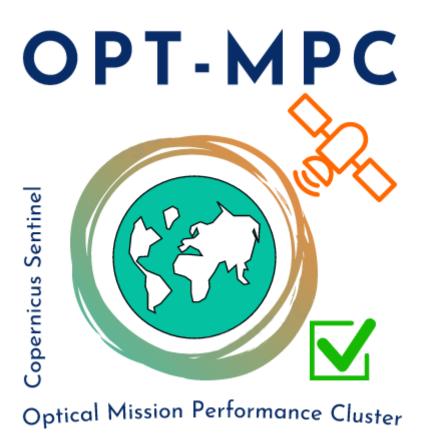


## COPERNICUS SPACE COMPONENT SENTINEL OPTICAL IMAGING MISSION PERFORMANCE CLUSTER SERVICE

### Sen2Cor 2.12.03 Configuration and User Manual



Ref.: OMPC.TPZ.SUM.002

Issue: 1.1

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### **Changes Log**

| Version | Date              | Changes  |
|---------|-------------------|--|
| 1.0     | 2.July 2012       | Created  |
| 1.1     | 15 September 2012 | Issue after S2PAD Phase 2 CDR.   |
|         |                   | Updated according to ESA comments and discussion on CDR 02/08/2012   |
| 1.2     | 1.June 2013       | Adaptation of installation procedures according to unification of environments and pre-release of processor for QR                           |
| 1.3     | 31. March 2014    | New Section 2.2, updated Installation procedures for Windows, moved information for data IO into new created document [S2-PDGS-MPC-L2A-IODD] |
| 1.4     | 27. June 2014     | Restructuring of sections 3.1, 3.2 to align the installation after upgrades of Anaconda and GDAL.  |
| 2.0     | 15.May 2015       | Integration into Sentinel-2 Toolbox, Version 2.0   |
|         |                   | Complete Improvement of Installation Procedure: Integration of CONDA Packages for GDAL and GLYMUR  |
|         |                   | Upgrade to PSD V12   |
|         |                   | Upgrade of JPEG-2000 Readers to OpenJPEG 2.1.0 instead of Jasper   |
|         |                   | Fixes of SPRs according to Release note for Version 2.0  |
| 2.1     | 10.02.2016        | Parallelisation on tile base implemented   |
|         |                   | Upgrade to PSD V13.1   |
| 2.2     | 13.04.2016        | Integration of Look-Up-Tables  |
|         |                   | Automated Aerosol determination  |
|         |                   | Automated Ozone selection  |
|         |                   | New description for DEM selection  |
|         |                   | Various improvements for command line Handling   |
| 2.3     | 25.11.2016        | Corrected command line options according to SIIMPC-686   |
|         |                   | Updated installation procedure in section 3.1  |
|         |                   | Added new configuration items in section 3.3.3.  |
|         |                   | Added offset for DEM output  |
| 2.4     | 30.06.2017        | Removed old installation procedure, now referring to release note  |
| 2.5     | 15.11.2017        | Added copyright notes  New section for Sen2Cor 2.5.0 configuration updates   |
| 2.6     | 08.02.2018        | New section for Sen2Cor 2.6.0 operation mode   |
| 2.6.1   | 26.02.2018        | Updated command line description and removed constraints for Toolbox mode  |

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|         |            | Removed section on old PDGS interface as was implemented for         |
|---------|------------|--|
|         |            | Sen2Cor 2.1 due to new Tile mode                                     |
|         |            | Added insertions and comments from J. Louis after internal           |
|         |            | document review  |
| 2.6.2 – | 24.07.2018 | Improved description on automated aerosol type determination.        |
| 2.6.6   |            |  |
|         |            | Updated description of the processing routines for band resampling.  |
|         |            | Removed section on parallelisation of tile processing, due to single |
|         |            | tile inputs. Changed information on memory requirements due to       |
|         |            | optimisation.  |
|         |            | Inserted information for standard SAFE or SAFE compact.              |
|         |            | Added / changed information for raw data selection and resolution    |
| 2.7.0   | 21.09.2018 | Added new command line parameters for database locations             |
|         |            | New parameter for Database Compression factor                        |
|         |            | Split image database into two entities and optimized disk usage      |
|         |            | New parameter for Disabling Terrain Correction with DEM              |
| 2.7.1   | 11.10.2018 | Adding hints for location of databases                               |
| 2.7.2   | 14.12.2018 | Adding the two new command line parameters for                       |
|         |            | processing_centre and processing_baseline                            |
|         |            | Removed the unused parameter refresh                                 |
| 2.8.0   | 16.01.2019 | Added description for multithreading with OpenJPEG and removed       |
|         |            | description for multi-tile processing. Updated version numbers for   |
|         |            | reference documents.   |
| 2.9.0   | 19.06.2020 | Added feature for process termination if DEM error occurs.           |
|         |            | Added description for automatic and manual mode of Region of         |
|         |            | Interest.  |
|         |            | Updated section of Software Development Environment.                 |
| 2.10.0  | 13.12.2021 | Updated sections and descriptions with the implementations           |
|         |            | present in Sen2Cor 2.10.0  |
| 2.10.02 | 19.04.2022 | Updated Sections 2.2.8, 3.1.2  |
| 2.11.0  | 21.11.2022 | Reviewed/Updated   |
| 2.12.03 | 10.09.2024 | Reviewed/Updated   |
| 2.12.03 | 04.12.2024 | Updated with information on the CDSE DEM Downloader                  |

### **List of Changes**

| Version | Section | Answers to RID | Changes |
|---------|---------|----------------|---------|
|         |         |                |         |
|         |         |                |         |
|         |         |                |         |

# OPT-MPC Pulsed Mission Performance Cluster Optical Mission Performance Cluster

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### 1 Introduction

### 1.1 Purpose of the document

This document is the Software *Configuration and User Manual (SUM)* for the Sentinel-2 Level-2A Prototype Processor, which is labelled *Sen2Cor* for *Sen*tinel 2 (atmospheric) *Cor* rection.

The prototype implementation for the Level 2A processing of Sentinel-2 imagery over land is a combination of state-of-the-art techniques for performing Atmospheric Corrections (AC, including Cirrus clouds and terrain correction), tailored to the Copernicus Sentinel-2 environment and a Scene Classification (SCL) with two available modules since the version 2.10.

Sen2Cor performs a pre-processing of Level-1C (L1C) Top of Atmosphere (TOA) image data, and applies a scene classification, an atmospheric correction and a subsequent conversion into an ortho-image Level-2A Surface Reflectance (SR, technically: hemispherical–directional reflectance factor, HDRF) product. Outputs are an Aerosol Optical Thickness (AOT) map, a Water Vapour (WV) map and a Scene Classification (SCL) map together with Quality Indicators data. Details of the products and its contents is provided in [S2A-PDD].

Level-2A (L2A) products are re-sampled as L1C products with a constant GSD (Ground Sampling Distance) of 10m, 20m and 60m according to the native resolution of the different spectral bands. If applicable, Level-2A products are provided for each MSI channel at coarser resolution (i.e. 20 m and 60 m) as well. A large database of Look-Up tables (LUTs) has been compiled using an atmospheric radiative transfer model based on LibRadtran 1. The LUTs are generated for a wide variety of atmospheric conditions, solar geometries, ground elevations and are calculated with a high spectral resolution of 0.6 nm. This database has been subsequently resampled with the Sentinel-2 spectral responses, to obtain the sensor-specific functions needed for the atmospheric correction.

#### 1.2 Document Structure

The configuration and user manual consists of the following main chapters and sections:

| Chapter, | Description  |
|----------|--|
| Section  |  |
| 1        | This chapter   |
| 2        | Introduces the Sen2Cor system. What is the general purpose of the      |
|          | application, how it is structured, what are the processing schemes. It |
|          | lists the general system architecture modules and functionality and    |
|          | gives a brief overview on its functionality and operation.             |
| 3        | Introduces the configuration and Installation procedures               |
| 4        | References   |
| 5        | Copyright Notes  |

<sup>&</sup>lt;sup>1</sup> https://www.libradtran.org



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The Configuration and user manual enables developers to upgrade and maintain the software and users of the software to operate the system within their specific hardware environment.

What this document will not provide is the scientific background of the application. This is part of the corresponding ATBD [S2-PDGS-MPC-L2A-ATBD]. In addition, the expert configuration of the processor has been moved into [OMPC-TPZG-IOD]. To avoid redundancies and inconsistencies between the different project documents, this content will thus not be repeated here. If it is necessary, for the understanding of the operation, this SUM will refer to the associated chapters of [OMPC-TPZG-IOD], [OMPC-TPZG-SRN] and [S2-PSD\_15].

### 1.3 References

| Document ID              | Description  | Version        |
|--------------------------|--|----------------|
| S2-PDGS-MPC-<br>L2A-PFS  | Sentinel-2 MSI – Product Format Specification  | 14.6,14.9,15.0 |
| OMPC-TPZG-IOD            | Sentinel-2 MSI – Level 2A Prototype Processor Input-<br>Output Definition Document (002)             | 2.12           |
| OMPC-TPZG-<br>SRN        | Sentinel-2 MSI – Level 2A Prototype Processor<br>Software Release Note (006)                         | 2.12           |
| S2-PDGS-MPC-<br>L2A-ATBD | Sentinel-2 MSI - Level 2A Products, Algorithm Theoretical Basis Document                             | 2.10           |
| S2-PDD                   | GMES Space Component – Sentinel-2 Payload Data<br>Ground Segment (PDGS), Product Definition Document | 14.9_4.9       |
| S2-PSD                   | Sentinel-2 Products Specification Document   | 14.6,14.9      |
| S2-PSD_15                | Sentinel-2 Products Specification Document   | 15.0           |



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### **2** Functionality and Operation

Sen2Cor is a prototype processor for Sentinel-2 Level 2A product formatting and processing. The processor performs the tasks of atmospheric-, terrain and cirrus correction and a SCL of Level 1C input data. Level 2A outputs are Surface Reflectance (SR, technically: hemispherical—directional reflectance factor, HDRF), previously defined as Bottom-Of-Atmosphere (BOA), optionally terrain- and cirrus corrected reflectance images, AOT-, WV-, SCL maps and Quality Indicators, including cloud and snow probabilities. The Level 2A Product formatting performed by the processor follows the specification of the Level 1C User Product. Details are given in [S2-PDGS-MPC-L2A-PFS].

The Copernicus Sentinel-2 Multi-Spectral Instrument (MSI) consists of 13 spectral bands with three different resolutions (10m, 20m and 60m) as shown in Figure 2-1. The instrument covers a 290 km swath. The Level-1C image product, which serves as the input for the Level-2A processing consists of tiles, each with a 100 km square. Each tile consists of thirteen compressed JPEG-2000 images, each image representing one single band. The thirteen bands have three different resolutions (10m, 20m and 60m) which lead to different image dimensions of the Level-1C input product. These details are given in [S2A-PDD] and [OMPC-TPZG-IOD].

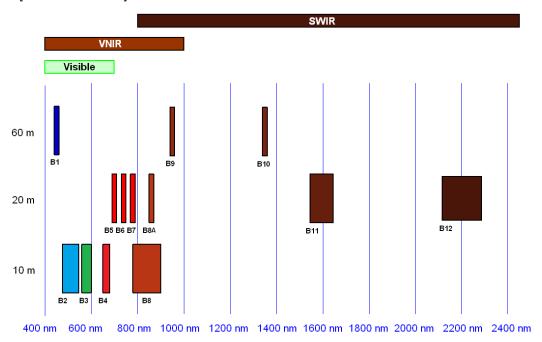


Figure 2-1 – Sentinel-2 Spectral Bands and Resolutions

### 2.1 Level-2A Processor Architecture

In this section a high-level description of the processor architecture is presented:

The Sen2Cor Toolbox application is designed by the following essential main modules (classes):

- **L2A\_Process:** the general operator module, which coordinates the interaction between the other modules and creates the L2A product structure of the metadata (see Figure 2-2).
- L2A\_ProcessTileToolbox: a single processing module, executing the tasks of scene classification, atmospheric correction and the creation of metadata on tile base.



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L2A\_SceneClass (SCL): performs the coarse classification of the input images into their different contents like clouds, snow, water, soil etc. and provides statistical analysis (see Figure 2-3 – Scene Classification Module). Replaced in Sen2Cor 2.10 by the new L2A\_SceneClass\_Evolution, the classic version as for version 2.9 is still available with a dedicated command line (--sc\_classic).

- L2A\_SceneClass\_Evolution (SCL): includes an update of several algorithms to handle the improvement of the topographic/casted shadow, reduce false snow detection, inclusion of cloud/shadow dilation, usage of S2 MSI parallax properties to mitigate false cloud and false snow detection, and replacement of ESA CCI Snow condition with an ESA CCI derived snow monthly climatology. It is the default Scene Classification module since Sen2Cor 2.10.
- L2A\_AtmCorr (AC): transforms the input from top of atmosphere (TOA) to Surface Reflectance (SR) representation by performing the atmospheric correction of the input (see Figure 2-4 – Atmospheric Correction Module).
- L2A\_Config: a helper class providing the configuration parameters to all other modules listed above.
- ❖ L2A\_Tables: a helper class, managing the conversion of the JPEG-2000 based input data to an internal format (and vice-versa) and providing a high performance access to the data for the processing modules (see section 2.4.1). It also handles the DEM retrieval and ESA-CCI auxiliary data preparation. It uses its own private L2A\_Config instance.
- L2A\_Manifest: a class specialized for the generation of the manifest on product level.
- L2A\_XmlParser: a utility class for parsing the metadata and GIPP files on demand.
- L2A\_Library: a collection of common tools used by all classes on demand.
- L2A\_Quality: a class specialized for the generation of the new Quality Report. New in Sen2Cor 2.10 and available since then.

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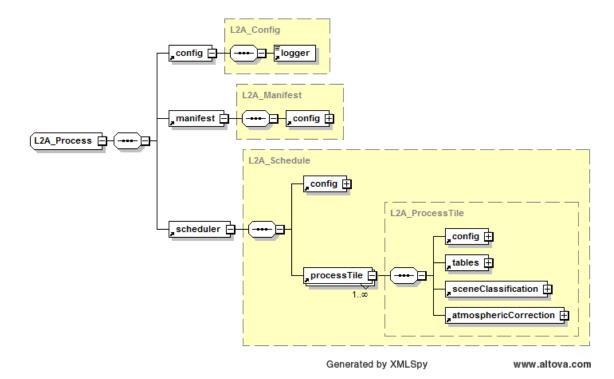


Figure 2-2 - High Level Processor Architecture

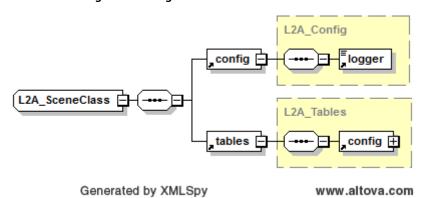


Figure 2-3 – Scene Classification Module

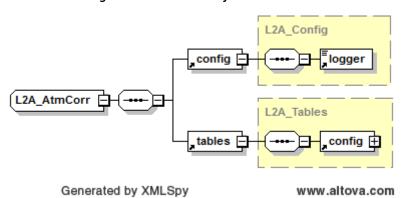


Figure 2-4 – Atmospheric Correction Module

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### 2.2 Workflow

Figure 2-5 below shows the main processing workflow. After reading and processing the input parameter and data, the main processing module triggers the creation of an internal temporary database, which is then used by the SCL and the AC module to retrieve and to store the data and intermediate products. The processing can act in a loop, depending on the number of different product resolutions that has to be generated.

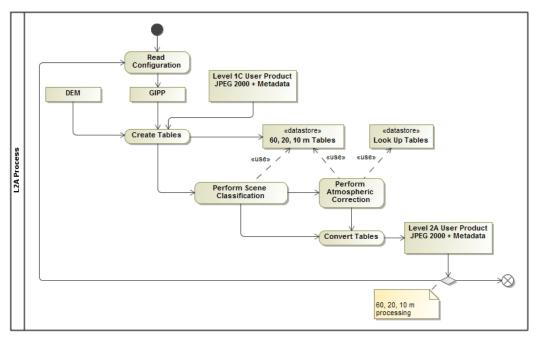


Figure 2-5 - Processing Flow, Overview

### 2.2.1 Scene Classification (L2A\_SceneClass)

The SCL algorithm allows to detect clouds, snow and cloud shadows and to generate a classification map, which consists of 3 different classes for clouds (including cirrus), together with six different classifications for shadows, cloud shadows, vegetation, soils / deserts, water and snow [S2-PDGS-MPC-L2A-ATBD]. The algorithm is based on a series of threshold tests that use as input top-of-atmosphere reflectance from the Sentinel-2 spectral bands. In addition, thresholds are applied on band ratios and indexes like the Normalized Difference Vegetation - and Snow Index (NDVI, NDSI). For each of these thresholds' tests, a level of confidence is associated. At the end of the processing chain a probabilistic cloud mask quality map and a snow mask quality map is produced. The algorithm uses the reflective properties of scene features to establish the presence or absence of clouds in a scene. Cloud screening is applied to the data to retrieve accurate atmospheric and surface parameters, either as input for the further processing steps below or for being valuable input for processing steps of higher levels. Figure 2-6 – Scene Classification below shows the results of a SCL (right side) based on modified AVIRIS test data (left side). Twelve different classifications are provided, see Table 2-1.



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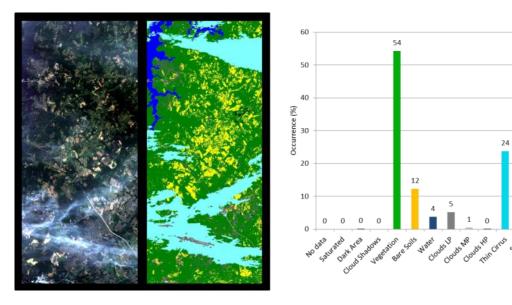


Figure 2-6 - Scene Classification

Table 2-1 – Classification Map

| Label | Classification           |
|-------|--------------------------|
| 0     | NO_DATA                  |
| 1     | SATURATED_OR_DEFECTIVE   |
| 2     | CASTED_SHADOWS           |
| 3     | CLOUD_SHADOWS            |
| 4     | VEGETATION               |
| 5     | NOT_VEGETATED            |
| 6     | WATER                    |
| 7     | UNCLASSIFIED             |
| 8     | CLOUD_MEDIUM_PROBABILITY |
| 9     | CLOUD_HIGH_PROBABILITY   |
| 10    | THIN_CIRRUS              |
| 11    | SNOW                     |

Associated quality indicators on snow and cloud probability are generated from the results. These Quality indicators calculate the probability (0-100%) that the earth surface is obstructed by clouds or optically thick aerosol (ice or snow).

The SCL processing consists of five different steps:

- Snow detection;
- Cloud detection;
- Cirrus detection;

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- Cloud shadow detection;
- Classification map generation.

The processing is shown in the flow diagram in Figure 2-7 – Scene Classification, Processing Flow.

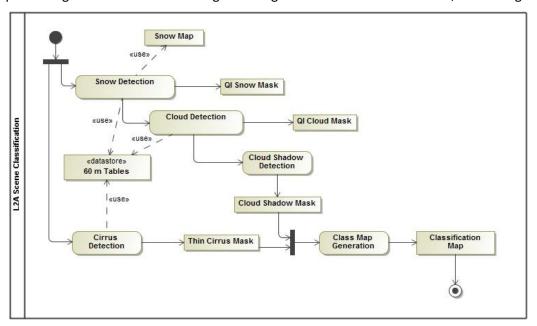


Figure 2-7 – Scene Classification, Processing Flow

Details of this algorithm, especially on the different threshold conditions are given in chapter 3 of [S2-PDGS-MPC-L2A-ATBD].

### 2.2.2 Scene Classification Evolution (L2A\_SceneClass\_evolution)

This new version improves the accuracy of the scene classification map. Updates includes several algorithms to handle the improvement of the topographic/casted shadow, inclusion of cloud/shadow dilation, usage of S2 MSI parallax properties to mitigate false cloud and false snow detection and replacement of ESA CCI snow condition with an ESA CCI derived from snow monthly climatology. This is the new default for Sen2Cor. It is still possible to select the classic version of the scene classification by using the command line '--sc classic'.

#### 2.2.3 Atmospheric Correction (L2A AtmCorr)

The AC processing consists of a set of different subtasks, (AOT, WV and surface reflectance retrieval, optional with terrain and cirrus correction), having three different user products as output: AOT and WV tables on pixel level and the corrected Surface Reflectance (SR) images for all bands measured. Figure 2-8 below shows the processing flow for the atmospheric correction module.

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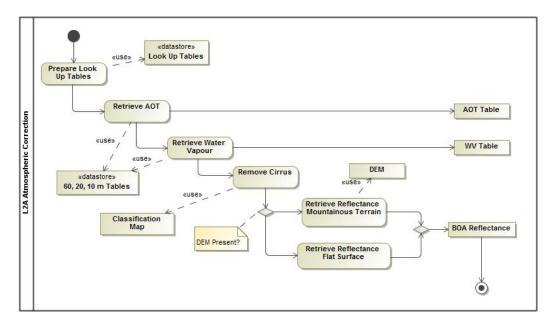


Figure 2-8 - Atmospheric Correction, Processing Flow

### 2.2.3.1 Look-Up-Tables Generation

The atmospheric model of Sen2Cor (L2A AtmCorr) is dependent on the calculation of radiative transfer functions for different sensors and solar geometries, ground elevations, and atmospheric parameters. The list in Table 2-2, presents a 6-dimensional parameter space and the grid spacing for each parameter. The processor reads the parameter in form of Look-Up-Tables (LUTs) pertaining to this parameter space and interpolates, if required. The LUTs have been generated via LibRadtran, a library for the calculation of solar and thermal radiation in the Earth's atmosphere.

Table 2-2 - Parameter space for atmospheric correction

| Parameter              | Range       | Increment / grid points          |
|------------------------|-------------|----------------------------------|
| Solar zenith angle     | 0 -70°      | 10°                              |
| Sensor view angle      | 0 -10°      | 10°                              |
| Relative azimuth angle | 0 -180°     | 30°(180°= backscatter)           |
| Ground elevation       | 0 -2.5 km   | 0.5 km                           |
| Visibility             | 5 -120 km   | 5, 7, 10, 15, 23, 40, 80, 120 km |
| Water vapour, summer   | 0.4 -5.5 cm | 0.4, 1.0, 2.0, 2.9, 4.0, 5.0 cm  |
| Water vapour, winter   | 0.2 -1.5 cm | 0.2, 0.4, 0.8, 1.1 cm            |

Starting with Sen2Cor release 2.2.0 the user can select between four atmospheric models: a set of 24 LUTs has been integrated to cover most of atmospheric conditions on Earth for the Sentinel-2 mission. In the Sen2Cor context, a set of LUTs is composed by 6 or 4 LUTs files depending on the total water vapour columns content of the atmosphere. Different LUTs are calculated for the mid-latitude summer and midlatitude winter atmospheres, with 6 different (sea level) ozone contents, a rural and a maritime aerosol,



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6 or 4 different sea level water vapour columns. For each supported water vapour level, the ground-to-space water vapour column depending on elevation according to the atmosphere temperature / humidity vertical profile is provided. Since Sen2Cor version 2.12.03, LUTs for Copernicus Sentinel-2C Spacecraft are provided.

Sen2Cor LUTs are calculated for:

- 2 different types of aerosols (rural and maritime)
- 2 different types of atmospheres (mid latitude summer and mid latitude winter)
- 6 different types of ozone concentrations (depending on summer or winter case)
- ❖ 6 or 4 different amounts of water vapour column (depending on summer or winter)

### 2.2.3.2 User configuration

The LUTs selection is configurable via the user configuration file (L2A\_GIPP.xml) located inside the \cfg folder of the directory where the \$SEN2COR\_HOME environment variable points to. In the Look\_Up\_Tables selection of the configuration file, three entries: Aerosol\_Type, Mid\_Latitude and Ozone\_Content can be set. The water vapour columns are set internally. Default processing via configuration is the rural (continental) aerosol type with mid latitude summer and an ozone concentration of 331 Dobson Units. Please refer to [OMPC-TPZG-IOD] for details.

#### 2.2.3.2.1 Setting of Automated Ozone Input

Ozone content may be specified by indicating a value in Dobson Units in the configuration file or it can be extracted from the auxiliary data in the L1C product by setting ozone content to '0' in the configuration file. In both cases, the LUTs with the smallest difference between the specified value and the discrete set of LUTs ozone grid points will be selected.

### 2.2.3.2.2 Setting Aerosol type (RURAL, MARITIME, AUTO)

The aerosol type can be set to rural or maritime aerosols or auto selected by the algorithm between these two. If the user sets the Aerosol Type to 'AUTO' the processor will determine it automatically. In that case, the processor will process two (aerosol only) test trials before the final processing of the atmospheric correction takes place.

The scene path radiance in the blue and red region is calculated as the total, minus the reflected radiance, using the average values obtained for the dark reference pixels. After calculation of the scene path radiance, the ratio of the path radiance for the blue channel by the path radiance of the red channel is compared to the corresponding ratio of the existing aerosols (RURAL, MARITIME) from the look up tables. The aerosol type for which the double ratio (dp) is closest to 1 is the best approximation for the scene and will be selected and used in all subsequent measures for the corresponding tile.

As the derived aerosol type is constant per scene in the Sen2Cor model, the question arises whether the automatic selection is the best choice, especially if one considers neighbouring scenes. In this case, the aerosol types could switch, leading to steps in the surface reflectance at the image borders. From this point of view a pre-selected aerosol type (e.g. rural-continental) might be the better choice in practice (see S2-PDGS-MPC-L2A-ATBD).

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#### 2.2.3.2.3 Setting Atmosphere (AUTO, SUMMER, WINTER)

In the same way as for the aerosol type, the atmospheric profiles can be fixed to summer and winter profiles or auto-selected by the algorithm. If set to 'AUTO' the processor will select WINTER or SUMMER atmosphere profile based on the acquisition date and geographic location of the tile.

#### 2.2.3.2.4 Setting Blue path radiance rescaling

Blue path radiance rescaling is some fine-tuning of path radiance for bands B01 to B03 to adjust the limited set of standard aerosol types available in the LUTs to the actual spectral behaviour of the path radiance in the blue spectral region. It has a positive effect in many situations, but it can also led to overcorrection for some products. Blue path radiance rescaling is therefore switched off in the default configuration. However, it can be switched on and configured by advanced users in GIP\_L2A AC GIPP.

#### 2.2.3.2.5 Use of external AOT information

Sen2Cor can use meteorological AOT provided globally by the Copernicus Atmosphere Monitoring Service (CAMS) additionally or alternative to AOT retrieval on basis of the DDV-algorithm. The default is to use the CAMS AOT information stored in L1C AUX\_DATA folder (since processing baseline 4.0) when the DDV algorithm cannot be applied due to the lack of dark dense vegetation pixels in the image (e.g. desert areas, only water tiles, snowy landscapes)

#### 2.2.4 Aerosol Optical Thickness

AOT retrieval provides a measure for the visual transparency of the atmosphere. It is derived using the DDV (Dense Dark Vegetation) algorithm [2], using the short-wave infrared (SWIR) band 12 and correlates its reflectance with bands 04 (red) and 02 (blue). The algorithm requires that the scene contains reference areas of known reflectance behaviour, preferably Dark Dense Vegetation (DDV) and/or dark soil and water bodies.

The algorithm starts with a user-defined visibility (default: 40 km) as input. If the scene contains no dark vegetation or soil pixels, the surface reflectance threshold of band 12 will be successively iterated to include medium brightness reference pixels in the sample. If the scene contains no reference (< 1%) and no water pixels, then, since Sen2Cor Version 2.10 a fall-back solution based on CAMS Data is implemented, which requires Level 1C processed input data based on PSD-Version 4.00 and above. This algorithm is further specified in subsection AOT Retrieval based on CAMS Data below. If no CAMS data are available, the scene is processed with the starting visibility instead and the algorithm delivers an AOT map as shown in Figure 2-9 below.

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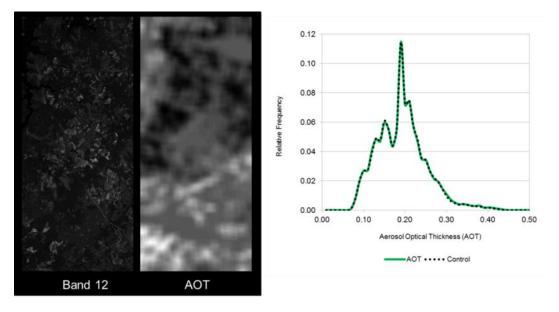


Figure 2-9 – AOT Retrieval using Band 12

#### 2.2.4.1 AOT Retrieval based on CAMS Data

In case of absence of the aforementioned reference pixels for the calculation of the visibility in the region of interested, Sen2Cor, since its version 2.10, makes use of auxiliary data provided in the Level 1C product. These data are in the L1C folder ".../GRANULE/.../AUX\_DATA/" in a binary file called AUX\_CAMSxx where xx changes according to the method used to derive the data. This file contains a coarse grid (e.g. 9x9) that is representative of the whole tile. Among the included additional auxiliary information, the values of the aerosol optical thickness at 550 microns, "aod550", and the geopotential, "z", proper of the selected region of the tile are retrieved from this grid. Table 2-3 reports the auxiliary input variable in the AUX\_CAMS file.

Table 2-3 - CAMS auxiliary input

| Variable                                    | Purpose in L2A processing context    | Raster Band<br>Number |
|---|--------------------------------------|-----------------------|
| Geopotential (z)                            | To retrieve the visibility map/index | 1                     |
| Total Aerosol Optical Depth at 469nm        | unused                               | 2                     |
| Total Aerosol Optical Depth at 550nm        | To retrieve the visibility map/index | 3                     |
| Total Aerosol Optical Depth at 670nm        | unused                               | 4                     |
| Total Aerosol Optical Depth at 865nm        | unused                               | 5                     |
| Total Aerosol Optical Depth at 1240nm       | unused                               | 6                     |
| Black Carbon Aerosol Optical Depth at 550nm | unused                               | 7                     |
| Dust Aerosol Optical Depth at 550nm         | unused                               | 8                     |

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| Variable                                      | Purpose in L2A processing context | Raster Band<br>Number |
|---|-----------------------------------|-----------------------|
| Organic Matter Aerosol Optical Depth at 550nm | unused                            | 9                     |
| Sea Salt Aerosol Optical Depth at 550nm       | unused                            | 10                    |
| Sulphate Aerosol Optical Depth at 550nm       | unused                            | 11                    |

The visibility is then computed by applying the formula provided by DLR/ATCOR and a visibility band is created. The associated ATBD describes how the computed visibility is then used for the atmospheric correction. If none of the CAMS data is available, the visibility is taken from starting value present in the input data.

#### 2.2.5 Water Vapour Retrieval

WV retrieval over land is performed with the Atmospheric Pre-corrected Differential Absorption algorithm (APDA, [3]) which is applied to the two Sentinel-2 bands B8a, and B9 (see Figure 2-10 – WV Retrieval using Bands 8a and 9). Band 8a is the reference channel in an atmospheric window region. Band B9 is the measurement channel in the absorption region. The absorption depth is evaluated by calculating the radiance for an atmosphere with no WV, assuming that the surface reflectance for the measurement channel is the same as for the reference channel. The absorption depth is then a measure of the WV column content. WV estimation is performed for the default processing baseline. It can be switched off by the user in the configuration file  $L2A_GIPP.xml$  located in the Sen2Cor home directory of the user. The user has several options to configure how water pixels are filled with WV-values. Default is to use the scene average of water vapour column for water pixels. The user can change the length of square box for smoothing the WV map.

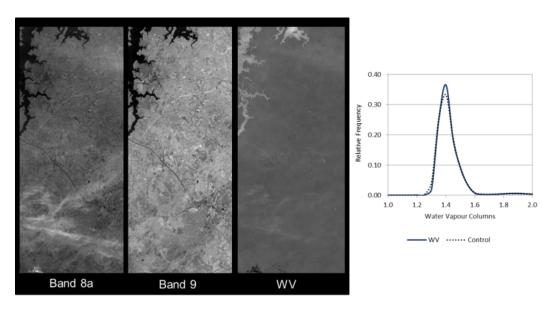


Figure 2-10 – WV Retrieval using Bands 8a and 9



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#### 2.2.6 Cirrus Correction

The Cirrus Correction algorithm [1] uses the sentinel (cirrus) channel 10. Thin cirrus clouds affect the visible, near- and shortwave infrared spectral regions. They are partially transparent and thus difficult to detect with broad-band multispectral sensors, especially over spatially inhomogeneous land areas.

WV, in contrast, dominates in the lower troposphere of 0-5 km. A narrow spectral band in a spectral region of very strong WV absorption (Band 10) will thus absorb the ground reflected signal, but will receive the scattered cirrus signal.

Cirrus reflectance of band 10 can therefore be correlated with other bands in the VNIR and SWIR region and the cirrus contribution can thus be removed from the radiance signal to obtain a cirrus-corrected scene. This is shown in Figure 2-11 below as a qualitative result.

Very good results can be achieved locally for a great visual impact however it is not activated by default because on a global scale the results of cirrus correction cannot be always optimal. The option can be activated by the user. Please note that cirrus correction is only applied during 20 m and 60 m processing. It is not applied at 10 m.

If cirrus correction is activated, then it can be influenced by a threshold based on the average water vapour column of a scene. Band 10 might become partly transparent for very low water vapour values or higher elevated surfaces leading to misinterpretation of bright surfaces as cirrus in the 1.38  $\mu$ m band. Cirrus correction will therefore not be performed if average water vapour column of the scene is less than that threshold.



Figure 2-11 – Cirrus Correction over north Denmark, Bands 2-4 with Band 10. Left: L2A Output cirrus corrected, right: without correction.

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#### 2.2.7 Surface Reflectance Retrieval

Surface Reflectance retrieval is performed for each sequential Band B1 - B12. Figure 2-12 below shows the Level 1C input data and the corresponding Level 2A output after atmospheric correction from a scene of La Paz, retrieved on 28.03.2016.





Figure 2-12 – Left: Level 1C Input, Bands 2-4; right: Level 2A Output, Bands 2-4, RGB composite images, scene from La Paz on

#### 2.2.8 Usage of Digital Elevation Maps

Since the release of Sen2Cor 2.2, Digital Elevation Maps can be used for two purposes:

- Improvement of the scene classification: previous versions of the processor had a tendency of false classification of water pixels inside of cloud borders and the correct discrimination between topographic and cloud shadow pixels. This was improved by taking the height information of an (optional) digital elevation map (DEM) as an additional input. To use this feature, it is necessary to activate the reading of an appropriate DEM as is explained below.
- Improvement of the terrain correction for rugged terrains: the algorithm for rugged terrain requires the existence of an appropriate Digital Elevation Map (DEM) and a set of derived products like slope, aspect and terrain shadow maps. The retrieval of the water vapour map also includes this terrain elevation.

The usage of a DEM is not set by default. It must be set manually. **The user can specify a DEM in the L2A\_GIPP.xml configuration file** by setting the parameter for <DEM\_Directory> to a relative path, instead of NONE. The DEM shall be located in the specified folder in the Sen2Cor home directory.

### Example: <DEM\_Directory>dem/dem type</DEM\_Directory>

If no appropriate DEM is found in this folder, the processor can try to retrieve and download DEM files from a chosen server, if available, that must be referenced by:

### <DEM\_Reference>Dem server

If the download fails and no local DEM are found, the processor continues with a flat surface calculation.

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Sen2Cor 2.12.03 is currently prepared to make use of the following different DEMs.

### The Copernicus DEM :

Please refer to the following link for more information: Copernicus DEM description

CDSE Copernicus DEM. The retrieval of Copernicus DGED DEM from the Copernicus Data Space Ecosystem (CDSE) requires credentials for authentication (username and password). This is not compatible with the "historical" Sen2Cor automatic retrieval of the DEM. An independent tool has been developed by the Sen2Cor Developers Team to handle the download and storage of the DGED DEM geocells file required by Sen2Cor. More information on how to download, configure and then operate the tool can be found at the ESA Senbox GitHub page at this address: <a href="https://github.com/senbox-org/CDSE-Copernicus-DEM-downloader">https://github.com/senbox-org/CDSE-Copernicus-DEM-downloader</a> and at the Sen2cor 2.12 Download page: <a href="https://step.esa.int/main/snap-supported-plugins/sen2cor/sen2cor-v2-12/">https://step.esa.int/main/snap-supported-plugins/sen2cor/sen2cor-v2-12/</a>.

Once the necessary DEM geocells have been downloaded, the associated configuration within the L2A\_GIPP.xml would require the specification of the DEM resolution in the <DEM Reference> variable. An example of configuration may be:

<DEM\_Directory>dem/CSDE\_DEM90\_DGED/DEM\_Directory>

<DEM\_Reference>CDSE-GLO-90</DEM\_Reference>

where "dem/CSDE\_DEM90\_DGED" is the Sen2Cor directory where the tool saved the DEM files and "CDSE-GLO-90" is the resolution of the COPERNICUS DEM that shall be set in the <DEM\_Reference> for Sen2Cor to correctly operate with both 30 m and 90 m resolutions.

For a 30m resolution DEM a possible setting may be:

<DEM\_Directory>dem/CSDE\_DEM30\_DGED/DEM\_Directory>

<DEM\_Reference>CDSE-GLO-30 /DEM\_Reference>

O AWS Copernicus DEM. Since Sen2Cor 2.12.01, Sen2Cor supports the retrieval of Copernicus DEM from the Amazon Web Service (AWS). For example, at 90m resolution, the user may want to set in the L2A\_GIPP.xml:

<DEM\_Directory>dem/CopernicusDEM90\_AWS/DEM\_Directory>

<DEM\_Reference>https://copernicus-dem-90m.s3.eu-central-1.amazonaws.com/ </DEM\_Reference>

or, for 30m resolution:

<DEM\_Directory>dem/CopernicusDEM30\_AWS</DEM\_Directory>

<DEM\_Reference>/https://copernicus-dem-30m.s3.eu-central-1.amazonaws.com/ </DEM\_Reference>

#### The SRTM DEM:

The 90m SRTM Digital Elevation Database from CGIAR-CSI. Before you decide to make use of this database, please read carefully the Disclaimer given on <a href="https://srtm.csi.cgiar.org/disclaimer/">https://srtm.csi.cgiar.org/disclaimer/</a>.

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Please be aware that to use the SRTM, the DEM reference has always to be set, even if the DEM are already available:

<DEM\_Directory>dem/srtm</DEM\_Directory>

<DEM\_Reference>http://srtm.csi.cgiar.org/wp-content/uploads/files/srtm\_5x5/TIFF//DEM\_Reference>

The commercial 90m DTED-1 Format from PlanetDEM (not freely downloadable): (used for L1C processing in Sentinel-2 ground segment up to L1C PB 02.09): https://planetobserver.com/global-elevation-data/. The Planet DEM is not freely downloadable and must be purchased. The processor expects all the appropriate DEM files in the form (e-w)XXX(n-s)YY.dt1 and available in the specified local folder <DEM Directory>.

**NOTE**: Please update the version of Sen2Cor to version 2.12.03 to use the AWS Copernicus DEM automatic download and be sure to have the correct reference and folder so to avoid mixing, for example, between the SRTM and different Copernicus DEMs.

**NOTE:** Please note that it is possible to use symbolic links for the "DEM\_Directory" folder (e.g. "dem/CopernicusDEM90\_AWS") if the user prefers to centralize the storage of this Copernicus DEM tiles in a directory in common with other software. (Unix command: In –s, Windows command: mklink).

New Feature since Sen2Cor 2.9.0 **<Force\_Exit\_On\_DEM\_Error>**: if an error occurs in the downloading of a DEM or if no DEM can be found, the default behaviour of the algorithm is as described before. If this parameter is set to **TRUE**, the processor will now stop processing instead and exits with an error message. The default configuration is **FALSE**, which leads to the processing behaviour as it was in the previous versions (FLAT terrain).

The geo-coordinates and angular information are obtained from the Level-1C metadata. The area of interest is created and fitted to the input images according to the information retrieved from the metadata and the conversion is performed using the GDAL libraries from OSGEO.

The algorithm reformats, resamples and assembles the DEMs based on the geo locations obtained either from the Level-1C metadata or from the JPEG-2000 images. Finally, it creates the according slope, aspect and a hill shadow maps using the GDAL DEM tools.

The retrieval of the spectral reflectance cube consists of the following steps:

- iterations for terrain reflectance;
- (optional) empirical BRDF correction;
- adjacency correction;
- spherical albedo correction.

Figure 2-13 below shows a comparison of the Level 2A output without usage of a DEM and the corresponding Level 2A output when a 90 m CGIAR DEM is applied before terrain correction. The same scene as for Figure 2-12 is shown.

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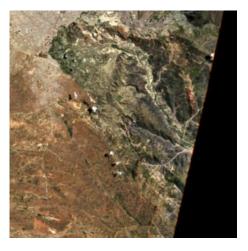




Figure 2-13 – Terrain correction; Left: Level-2A no DEM correction, right: Level 2A with DEM correction.

Since Sen2Cor V.2.3.0 (PFS 14.2) an offset of 10.000 is added to the DN output Value of the DEM array to support negative heights, as the OpenJPEG format only supports unsigned integer values. In practice, the highest elevation value on Earth:  $^{\sim}8,848$  m and lowest elevation value on dry land:  $^{\sim}$  -418m are thus encoded as 18848 and 9582 DN.

Since Sen2Cor 2.7.0: to decouple the DEM processing between scene classification, AOT and terrain correction a new configuration parameter has been inserted in the L2A\_GIPP.xml. Setting <DEM\_Terrain\_Correction> to 'FALSE' will disable the terrain iteration for the terrain corrections, whereas scene classification, AOT (and WVP) are still calculated using the DEM information.

### 2.2.9 Quality Mask

Sen2Cor 2.10 introduced the possibility to apply a quality mask to the tile so to remove all the problematic pixels that a given band may carry. This option is activated in the L2A\_GIPP.xml by setting 'TRUE' the entry <Handle\_L1CQLT\_MAsk>.

More information on the way Sen2Cor 2.10 handles the necessary input is available in the associated [OMPC-TPZG-IOD]. Figure 2-14 shows an example of a L2A TCI product where a quality mask is applied.

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Figure 2-14 – L2A TCI image of a tile processed with a Quality Mask masking the defective pixels of different affected bands.

### 2.3 Product Formatting

The Level 2A Product format is closely related to the Level 1C Top-of-Atmosphere (TOA) reflectance product which serves as an input to the processor (see Figure 2-15). It consists of 13 JPEG-2000 images, associated to the 13 Sentinel-2 spectral bands at three different spatial resolutions with a ground sampling distance of 10, 20, and 60m.

The generated Level 2A Surface Reflectance output images are resampled and generated with an equal spatial resolution for all bands, based on three user selectable resolutions of 10, 20 and / or 60m. The tile level contains different components, based on the user selected resolution:

- a 10 m resolution product contains spectral bands 2, 3, 4 and 8 and AOT and WV maps resampled from 20m
- ❖ a 20 m product contains bands 1 − 7, the bands 8a, 11 and 12 and AOT, WV map. SCL is also available.
- a 60m product contains all components of the 20m product and additionally the 60m bands 1 and 9. SCL is also available.
- Additionally, starting with Sen2Cor 2.3.0 a True Colour composite Image (TCI) using Bands 2-3-4 with the same resolution as the monochrome bands will be stored in parallel to the reflectance output images.
- The Cirrus band 10 will be omitted in the Level 2A output, as it does not contain surface information.

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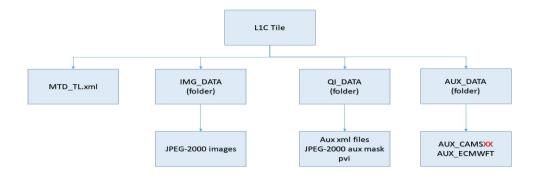


Figure 2-15 - Filing structure of Level-1C product on tile level

The complete specifications for all inputs are provided in [S2-PDGS-MPC-L2A-PFS] and [OMPC-TPZG-IOD]. Level-2A Inputs are derived from the Level-1C data schemes as described in [S2-PDGS-MPC-L2A-PFS].

### 2.4 Runtime Configuration

Ground Image Processing Parameter (GIPP) are configured in an XML file named L2A\_GIPP.xml, located in the <cfg> subdirectory of the processor (see example in the appendix), where they can be configured by the user. The GIPP are listed in their current context. These parameters, together with all input, output and other auxiliary data are listed in the corresponding [OMPC-TPZG-IOD] document and thus not repeated here.

#### 2.4.1 Pre-processing (L2A Tables)

The Level-1C input data is expected to be present in a folder structure at product level, as specified in section 3.1 of [S2A-PDD]. The location of the Level-1C input product can be specified via the command line argument.

Due to the three different resolutions of the Level-1C input images, conversion routines will serve for an up- and down- sampling of parts of the images into the appropriate resolution as well as the transfer from JPEG-2000 into a different internal format (see below). This has been implemented using the OpenJPEG library, which will be installed during the Sen2Cor setup.

Due to the relative large size of the image data (especially for the high-resolution, 10 m bands, B02, B03, B04 and B08) data in and output has been shown as rather time- and memory-consuming.

Since Sen2Cor 2.8.0: OpenJPEG, version 2.3 which is used for the reading of the L1C input images now allows the usage of multithreading to speed up the import. The configuration is set by default to AUTO in the L2A\_GIPP.xml configuration file [OMPC-TPZG-IOD], which detects the amount of usable threads by calling cpu\_count(). If the user does not want this feature or want to set the amount of threads individually, the parameter <Nr\_Threads> can be changed between a value of 1 (single thread processing) up to 8. Figure 2-16 below shows the speed improvement for reading on a 2 Core Intel I5 platform with 8 GB of RAM between OpenJPEG 2.1 (left) and (new) OpenJPEG 2.3 with one, two and four threads applied.

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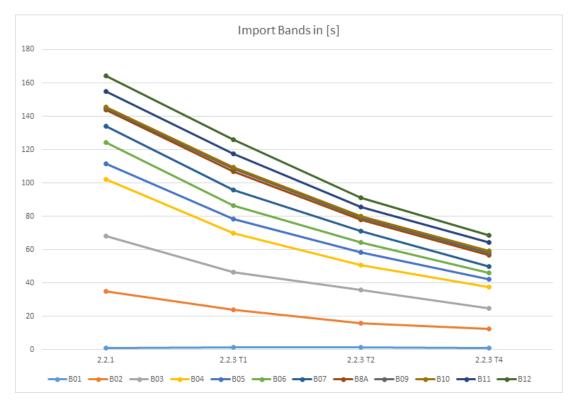


Figure 2-16 – Performance improvement using OpenJPEG 2.3 and multithreading

An intermediate data conversion was selected which converts the JPEG-2000 images and the necessary metadata (like the DEM) into an internal format based on HDF5. HDF5 is a data model, library, and file format for storing and managing data. It is especially designed for flexible and efficient I/O and for high volume and complex data. HDF5 is portable and extensible, allowing applications to evolve in their use and platforms to be supported.

The interfacing with the internal data format is implemented via PyTables. PyTables is a package for managing hierarchical datasets, designed to efficiently handle extremely large amounts of data. It is built on top of the HDF5 library, the Python language and the NumPy package.

Since Sen2Cor 2.7.0 and above: operations with Sen2Cor 2.6.3 in the PDGS mode have shown that the HDF5 database could grow up to more than 5 GB for a full tile, using DEMs as additional auxiliary data. To downscale the consumption of disk space the following modifications have been implemented with 2.7.0:

- There is now a persistent image database containing only the L1C source files in their default resolutions. This database will be first removed after a full processing of all resolutions. Additionally, a temporary database is filled with the resampled and generated products, which will be removed after each processed resolution to keep the space of the databases to the lowest limit possible.
- ❖ In PDGS mode, a new command line option allows specifying the two locations of the image and the temporary database. Two different user selectable locations are available to select between the separate usage of a ramdisk and a normal disk space. The configuration is performed via command line (see section 3.3.1). By default, the databases will be created in the working



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directory given via command line. Toolbox users can ignore this option. In Toolbox mode the database will always be in the corresponding L2A tile directory. The options will have no effect.

- There is also a configurable compression factor available in the User configuration file L2A\_GIPP.xml (<Database\_Compression\_Factor>, see [OMPC-TPZG-IOD] for details). For PDGS operations, it is recommended to use a compression factor of 1, which allows a reduction of the databases to about 2/3 of their original size in the non-compressed mode whereas for TOOLBOX users the proposed default setting is no compression (compression factor of 0) to get a better speed performance.
- In the first processing stage, the image database will load only the bands needed for the 20 m processing (except 10 m Band 8). After the 20m processing is performed, all bands (except the three 10m bands 1-3) are removed and Band 8 will be loaded. Thus, the database will keep a size below 1 GB during the whole processing.
- The temporary database will import all auxiliary data (like the DEMs and ESACCI images). It will additionally store the resampled bands from the image database and the processed products from the 20 m Scene Classification (Classification Map) and Atmospheric correction (AOT/Visibility and Water Vapor) maps. After the 20 m processing is performed, only these latest two products will be up sampled to 10 m and copied into a new temporary database. The old database, with all products no longer needed, will then be removed.
- In the 10 m processing the temporary database keeps the AOT/Visibility and Water vapor and imports the new resampled auxiliary data, needed for the 10m processing.
- The down-sampling routine to 60 m has been improved in the way that the output products will be directly converted to their final format, so that no further storage of these down-sampled products in the database is required.
- Additionally, all bands that are no longer needed for the processing chain are removed from the temporary database to free space for other intermediate products.

Given these improvements, the following measures have been obtained by operation of a full sized L1C tile with configured DEM, processing for 20m and 10m and a down sampling of the 20m product to 60m.

The database weight at different resolution processing is reported in Table 2-4.

Table 2-4 – Database weight at different resolution processing

| Resolution          | Image DB              | Temp DB               |
|---------------------|-----------------------|-----------------------|
| 20 m processing     | 739 MB                | 1.53 GB               |
| Down-sampling to 60 | No changes in DB size | No changes in DB size |
| 10 m processing     | 867 MB                | 1.963 GB              |

**NOTE**: the 10m processing uses some parts of the outputs from the 20m processing (Scene Classification, Aerosol Optical Thickness, Water Vapour and Visibility). In addition, both processing steps use the same



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bands located in the image database. Thus, although it is possible to address two different locations for the image and the temporary database, it is very important that the location for the individual database keeps the same for the two processing steps and that both databases are not removed externally. The processor itself will keep care for the proper housekeeping of the two databases.

### **2.4.2** Improvement of the Processing Routines

Since Sen2Cor 2.6.0: the routines for generating user products of different resolutions have been decoupled and generally improved:

- Sen2Cor will now read all required bands only once, at begin of the processing in their original resolution. For each subsequent processing, the bands will be internally resampled and written to the target resolution.
- If the user is specifying no resolution at all on the command line, first the 20m and in a second step, the 10m resolution will be processed.
- Since Sen2Cor 2.6.5 and above: starting with Version 2.6.5 the user can configure an optional down sampling to a 60m resolution, after a 20m processing has been performed. This is configured via the entry <Downsample 20 to 60> in the L2A\_GIPP configuration file.
- If the user instead explicitly wants to process a 60m resolution, this can be performed still via the option --resolution=60 in the command line. In the same way a 20 or 10m resolution processing can be selected.
- The selection of a 10 m resolution requires the generation of a 20 m product. This will be processed first, if the 10 m option is chosen.

Since Sen2Cor 2.9.0, two features for improving the processing time have been implemented: **Manual Configuration** and **Auto Detection** of a **<Region\_Of\_Interest**>. This can be configured in a new section of the L2A\_GIPP configuration file:

❖ Manual configuration: it is now possible to specify a rectangle around a midpoint coordinate, which is given by the row0 and col0 parameters, and the size of the rectangle given by the nrow\_win and ncol\_win parameters around the midpoint. Processing will then only take place in this region (See Figure 2-17 – Manual configuration of a 3000 x 3000 frame window. Absolute processing time in minutes and percentages between full processing and sub-tile.). The values are always expected to be configured within a whole frame of 10980 x 10980 pixels. This corresponds to a full tile at 10m resolution. The sizes need not to be identical, but the ROI must be located inside of the whole frame and all given values must be integer divisible by six, to prevent rounding errors for the lower resolutions of 20m and 60m.

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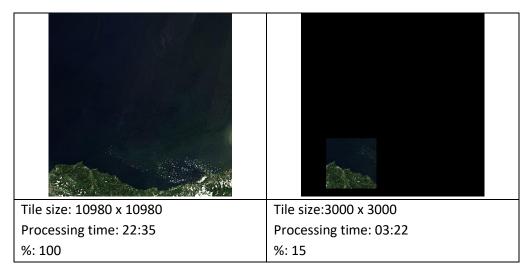


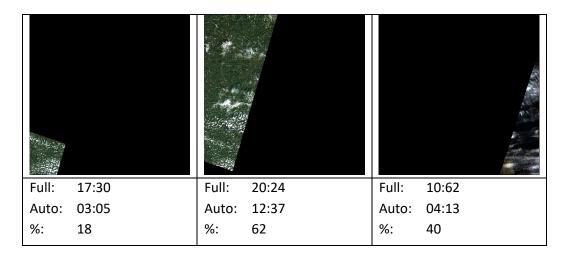
Figure 2-17 – Manual configuration of a 3000 x 3000 frame window. Absolute processing time in minutes and percentages between full processing and sub-tile.

Automatic ROI Detection: this option shrinks the processing only to those rectangular areas of a tile that contain foreground pixels. if row0 (and col0) are set AUTO the area of interest is detected automatically: this is given by the min and max rows and columns, where a nonbackground pixel can be found and will reduce processing time, as the rectangular areas containing only background pixels are not included in the processing.

**NOTE**: The AUTO ROI Detection is still experimental with the last evolution of Scene Classification mode.

If row0 (and col0) are set to OFF, the standard processing will take place without checking whether the processing area can be shrunk. Also, if the processing mode is set initially to AUTO and a full tile is detected (or a tile with a valid data pixel percentage larger than 85%), the processing is switched to the standard mode. If AUTO or OFF are selected, the values for nrow\_win and ncol\_win are ignored.

The Figure 2-18 – Tests on Autodetection. Absolute processing time in minutes and percentages between full processing and AUTO mode) shows a comparison of the processing times for three selected tiles with different amounts of background pixels.



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Figure 2-18 – Tests on Autodetection. Absolute processing time in minutes and percentages between full processing and AUTO mode.

### 2.4.3 The 60 m Product Processing

The 60m product processing uses the three 60m bands B01, B09 and B10 and a 60m DEM as inputs. The three 10m bands B02-B04 will be internally down-sampled to 60m. The same is true for the 20m bands B05 – B8A and B11, B12. The 10m band B08 is not used for the 60m processing.

Beneath the twelve optical channels (the cirrus channel B10 is excluded, as it does not represent surface information) the 60m product processing generates:

- a Scene Classification map (SCL);
- quality indicators for presence of snow and clouds (in percentage);
- an Atmospheric Optical Thickness (AOT):
- a Water Vapour map (WVP);
- a preview image, covering the three visible bands 2-4 within an JPEG-2 compressed image, at 320m resolution.

### 2.4.4 The 20 m Product Processing

The 20m product processing uses the 20m bands B05 – B8A and B11, B12 and a 20m DEM as inputs. The three 60m bands B01, B09 and B10 will internally be up-sampled to 20m, the three 10m bands B02-B04 will be internally down-sampled to 20m. The 10m band B08 is not used for the 20m processing.

The 20m product processing covers nine optical channels a 20m, SCL, AOT and a WV and a Visibility Index file corresponding to the AOT. The two resampled 60m bands B09 and B10 are omitted in the output to avoid spectral artefacts due to mixed signatures and resampling.

#### 2.4.5 The 10 m Product Processing

Inputs for the 10m product processing are the four 10m bands B02-B04 and B08, an optional 10m DEM an up-sampled Scene Classification and a Visibility Index map, both up-sampled from 20m. As the WV influence is very small, only the scene-average WV needs to be used for the surface reflectance retrieval. The 10m product processing covers thus only four optical channels. The other channels, not used for the calculation will be omitted. Always, a 20 m resolution needs to be performed first, as the 10m processing depends on these input data.

#### 2.4.6 Post-Processing

The OpenJPEG Library is used for generating the final L2A-Product, transferring the internal HDF5 based tables back into the JPEG-2000 format. It keeps for all generated products the final (resampled) resolution. The output product will be placed in the folder addressed via the option "--output\_dir". If this option is omitted, the output product will be placed in the same folder as the Level-1C input product. Figure 2-19 shows the high-level breakdown of an L2A Product.

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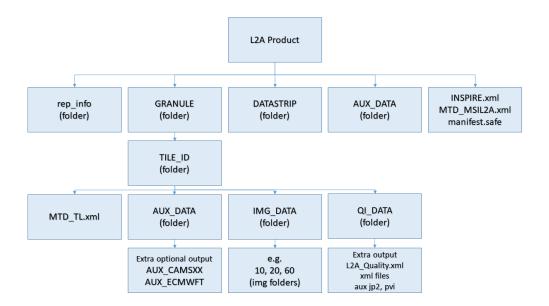


Figure 2-19 – Level 2A product, high-level breakdown.

### 2.4.7 Configuration recommendations

ESA-L2A core product is the L2A-generation performed in the S2 Production Services, which can be downloaded from Copernicus Dataspace Ecosystem [https://browser.dataspace.copernicus.eu/]. It is based on a processor version and configuration (Processing Baseline) valid at time of processing.

'User' L2A production with toolbox version gives the opportunity to process homogeneous time series with custom configuration.

The recommended baseline processing is the rural/continental aerosol type together with the summer atmospheric profile, but it can also be specified according to geography and climatology. However, a change of the aerosol type and profile between successive scenes may cause brightness steps in the Surface Reflectance at scene borders and should be avoided. It is also recommend forcing aerosol type and season to the same configuration for processing of time series. The baseline configuration for the selection of ozone content is to retrieve the information from the L1C auxiliary meteorological file from ECMWF.

AOT estimation can be configured by the user in the configuration file L2A\_GIPP.xml located in the Sen2Cor home directory of the user. Sen2Cor supports configuration of the start visibility, the visibility update mode and the aerosol model.

The visibility set in the configuration file is used multiple times. It is used as a start visibility which serves for computing the surface reflectance in the SWIR2-band for DDV detection. It can be used as fall-back solution if there are no DDV-pixels in the granule or it gives the value to be used if processing with fixed AOT was configured. Default setting for visibility is 40 km which corresponds to AOT550 of about 0.20 at sea level. To set the correct mean altitude for the granule is important for AOT retrieval if no DEM is provided.

The user can configure Sen2Cor to work with a fixed, constant visibility, to update the start visibility with the DDV-algorithm (default), to use only meteorological AOT from ECMWF or to merge visibility update of the DDV algorithm with using meteorological AOT from ECMWF. The user can select the fall-back solution to be applied. Default is using meteorological AOT from ECMWF as fall-back solution.

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The recommended baseline for processing selects the fixed aerosol type rural / continental. For smaller areas (such as specific islands) it may be interesting to switch to using a maritime aerosol. The user can alternatively select the automatic aerosol type selection.

Recommendation is to employ a fixed aerosol type for a production tessellating multiple granules or for processing time series. Keeping aerosol type fixed may decrease the Surface Reflectance accuracy for some atmospheric conditions, however differences in Surface Reflectance due to processing with different aerosol types are understood to be smaller than uncertainty in AOT. Fixed aerosol type gives priority to the homogeneity of the result. Possible switching of aerosol types between successive scenes due to automatic aerosol type selection (or within the set of tiles for one scene) in a Sentinel-2 trajectory can cause brightness steps at the scene / tile borders. Fixed aerosol type avoids bumps in time series when changing aerosol type resulting in better time-series overall consistency.

Advanced users find also configuration options for the blue path radiance rescaling in the  $L2A\_CAL\_AC\_GIPP.xml$ . Blue path radiance rescaling is switched off in the default configuration of Sen2Cor. It can be switched on and the rescaling can be limited to corrections lower than 10%.

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### 2.5 Memory Requirements

The atmospheric correction processing for 10m resolutions uses a large amount of memory due to the  $10.000 \times 10.000$  pixel for each image. Multiple images must be kept at certain intervals completely in memory for performing correlations.

Figure 2-20 shows the memory consumption for a full Sen2Cor processing using a MacBook Pro, mid 2014, with a 2.8 GHz Dual-Core Intel Core i5 processor and with 8 Gb 1600 MHz DDR3. Although the peak is reached at 3.5 GB, as a general rule, a resource of **4 GB of memory** should be at least available for processing at 10m resolution.

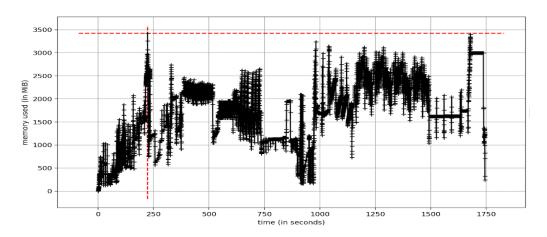


Figure 2-20 – Memory Consumption during processing

### 2.6 Interface changes:

**Configuration:** Since Sen2Cor 2.4, the L2A\_GIPP.xml has been rearranged. Only user specific configuration parameters are presented. Expert configuration parameters have been moved into 2 configuration files which are located under the site-package directory of the Sen2Cor application.

**L2A\_CAL\_SC\_GIPP.xml:** contains the calibration parameter for the scene classification which should only be changed by expert users. Thus, this file is no member of the user configuration.

**L2A\_CAL\_AC\_GIPP.xml:** contains the calibration parameter for the atmospheric correction which should only be changed by expert users. Thus, this file is no member of the user configuration. Starting with Version 2.3.0 the changes in the configuration listed in Table 2-5 have been added.

| Table 2-5 – Additional configuration iten |             |
|---|-------------|
|   | Description |

| Configuration Item | Description   | Value                       |
|--------------------|---|-----------------------------|
| Scaling_Limiter    | limits the scaling of the path radiance for the blue channel to +/-10%. | true<br>(default)/<br>false |
| Scaling_Disabler   | Disables the scaling of the path radiance for the blue channel.         | true (default)<br>/ false   |

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| Configuration Item  | Description                             | Value                     |
|---------------------|---|---------------------------|
| Rho_Retrieval_Step2 | Disables the execution of step 2 of the | true (default)<br>/ false |

As these configuration items are of experimental character, they are located in the expert configuration file L2A\_CAL\_AC\_GIPP.xml and should not be changed by standard users.

In the user configuration file L2A\_GIPP.xml (located in the cfg directory of the directory where \$SEN2COR\_HOME points to) the default value for the visibility has been changed from 23 to 40 km (see Table 2-6).

Table 2-6 - Visibility default value

| Configuration Item | Description                       | Value             |
|--------------------|-----------------------------------|-------------------|
| Visibility         | Sets the visibility default value | 40.0<br>(default) |

Starting from version 2.10 the L2A\_GIPP.xml contain the necessary updates for handling the PSD version 14.9. Moreover, new entries comprise the <DOI> (Digital Object Identifier) and the <Handle\_L1C\_QLT\_Mask> option. For this last aspect, please refer to the [OMPC-TPZG-IOD] and the new section 2.2.9Erreur! Source du renvoi introuvable..

#### 2.6.1 Other Changes:

A new L2A user product will always be created with the current timestamp in UTC for the creation time.

- ❖ A selected resolution of 60 m will only process the 60 m resolution.
- ❖ A selected resolution of 20 m will only process the 20 m resolution.
- If the option <Downsample\_20\_to\_60> in the L2A\_GIPP.xml is set to TRUE, a 60 m product will be generated via a down sampling of the 20 m product. This is configured by default and can be changed in the L2A\_GIPP.xml.
- A selected resolution of 10 m will process the 20 m resolution first, as it is the base for the 10 m product.

### 2.7 Logging (Logger)

The module L2A\_Config keeps an instance of a logger object. Each of the processing modules writes its own diagnosis and status messages into a common log-file, located in the HTML folder at the top of the User product.

The log level can be configured in the GIPP (L2A\_GIPP.xml) as:

DEBUG, INFO, WARNING, ERROR, CRITICAL, NOTSET

The log-level is hierarchical: if e.g. set to **DEBUG**, all higher messages such as **INFO**, **WARNING**, **ERROR** or **CRITICAL** will be displayed as well. Setting the log-level to **ERROR** displays only **ERROR** and **CRITICAL** messages.

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Beneath the message itself, the logger displays the system time stamp when the message was generated, the log-level, the module which has generated the message and the function and code line of the module which has generated the message.

Since Version 2.6.0 the formatter of the logging output has been aligned to the Level 1C processor (only for PDGS mode).

**NOTE**: This functionality is mainly used for internal development and thus it is not supported as official feature. It is suggested not to change the actual default configuration: 'INFO'

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### 3 Configuration and Installation

### 3.1 Installation and Setup

Installation and Setup of the runtime environment is specific to the actual releases of Sen2Cor and it is also described in the associated [OMPC-TPZG-IOD] and [OMPC-TPZG-SRN].

#### 3.1.1 Installation Process

The Python runtime packages of Sen2Cor will work fully autonomously and isolated and do not need any further installation of Anaconda, GDAL, OpenJPEG or any other python packages.

The installation procedure is as follows:

Download the package:

- Linux: Sen2Cor-02.12.03-Linux64.run
- Windows: Sen2Cor-02.12.03-win64.zip
- Mac: This release does not support a Mac version for Sen2Cor 2.12.03. Further updates on the availability of the Mac version will be provided in the future.

From the ESA STEP website:

### http://step.esa.int/main/third-party-plugins-2/sen2cor

**On Linux:** extract the compressed archive with the system command: "sh Sen2Cor-02.12.03-Linux64.run".

On Windows: extract the zipped archive with an unzip tool.

More detailed information is available in Section 4 (*Installation and Setup*) of the associated [OMPC-TPZG-SRN].

### 3.1.2 ESACCI-LC package for SC module

The ESACCI-LC for Sen2Cor data package is prepared for users of Sen2Cor version ≥ 2.5 who want to benefit from the last improvements of Sen2Cor Cloud Screening and Classification module. This auxiliary data information is used in Sen2Cor to improve the accuracy of Sen2Cor classification over water, urban and bare areas and to have a better handling of false detection of snow pixels.

**NOTE**: a Digital Elevation Model (DEM) is a pre-requisite for using ESACCI\_LC information in the Scene Classification algorithm. Please refer to section 2.2.8 to use an external DEM. In the case SRTM DEM is used, latitudes higher > 60 deg N (and lower < 56 deg S) are not covered by the SRTM DEM, therefore no ESACCI\_LC information will be used for these latitudes. Standard Scene Classification algorithm will then be applied.

Users of **Sen2Cor version 2.10 (and up)** should download the new monthly snow condition files derived from ESACCI from the following location:



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https://earth.esa.int/eogateway/ftp/Sentinel-2/ESACCI-LC-L4-ALL-FOR-SEN2COR-2.10.tar.gz

The zip file shall then be extracted at this location of Sen2Cor installation:

'\$SEN2COR BIN/aux data/'.

It contains two files and one directory:

- **SACCI-LC-L4-LCCS-Map-300m-P1Y-2015-v2.0.7.tif**
- **❖** ESACCI-LC-L4-WB-Map-150m-P13Y-2000-v4.0.tif
- ❖ ESACCI-LC-L4-Snow-Cond-500m-MONTHLY-2000-2012-v2.4

Users of **Sen2Cor version < 2.10** should download this ESACCI-LC for Sen2Cor data package (ESACCI-LC-L4-ALL-FOR-SEN2COR.zip) from this location: **http://maps.elie.ucl.ac.be/CCI/viewer/download.php**This zip file shall then be extracted at this location of Sen2Cor installation: 
'\$SEN2COR\_BIN/aux\_data/.' It contains two files and one directory:

- **❖** ESACCI-LC-L4-LCCS-Map-300m-P1Y-2015-v2.0.7.tif
- ESACCI-LC-L4-WB-Map-150m-P13Y-2000-v4.0.tif
- **❖** ESACCI-LC-L4-Snow-Cond-500m-P13Y7D-2000-2012-v2.0

### Example on Ubuntu (Linux) installation:

\$ Is Sen2Cor-02.10.00-Linux64/lib/python2.7/site-packages/sen2cor/aux\_data ESACCI-LC-L4-LCCS-Map-300m-P1Y-2015-v2.0.7.tif ESACCI-LC-L4-Snow-Cond-500m-MONTHLY-2000-2012-v2.4 ESACCI-LC-L4-WB-Map-150m-P13Y-2000-v4.0.tif

### Example on Windows10 installation:

>dir Sen2Cor-02.10.00-Linux64/lib/python2.7/site-packages/sen2cor/aux\_data ESACCI-LC-L4-LCCS-Map-300m-P1Y-2015-v2.0.7.tif ESACCI-LC-L4-Snow-Cond-500m-MONTHLY-2000-2012-v2.4 ESACCI-LC-L4-WB-Map-150m-P13Y-2000-v4.0.tif

**NOTE**: it is possible to use symbolic links in this aux\_data folder if the user prefers to copy those auxiliary files to another data folder (unix command: In –s, windows command: mklink).

### 3.2 Configuration Files

It is important to know that the default configuration of the L2A algorithms within the expert GIPP and the L2A\_GIPP provided with Sen2Cor version 02.12.03 is the same configuration as for the operational L2A processing in Sentinel-2 Production Services. The only differences between "Toolbox" and "Core" L2A products should either come from the difference in the DEM used (e.g. no DEM, SRTM or Copernicus DEM 90 or 30), from the JPEG2000 encoding (OpenJPEG in Toolbox and Kakadu in L2A "Core" products).

**NOTE**: Differences between Core Products and Toolbox Products are listed at the ESA-STEP Sen2Cor page associated with the released version of Sen2Cor.

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### 3.2.1 L2A\_GIPP.xml

Located under \$SEN2COR\_HOME/cfg the GIPP file of the application (which is an xml formatted file) can be configured by the user for individual purposes. If a different configuration shall be used, '\$SEN2COR\_HOME' directory can be reconfigured to a different directory:

export SEN2COR\_HOME' = <directory of your choice>

This allows the operation with multiple configuration settings. Configuration is part of the [S2-PDGS-MPC-L2A-IODD] and thus not described here.

The new parameters added in the L2A\_GIPP.xml for Sen2Cor 2.10 are described in the associated [IODD], and in section 2.6.

Additionally, to these changes for the PDGS mode, three options are provided in the L2A\_GIPP.xml file in order to activate or deactivate the generation of three optional output products:

```
<Generate_DEM_Output>FALSE</Generate_DEM_Output>
FALSE: no DEM output, TRUE: store DEM in the AUX data directory
<Generate_TCI_Output>TRUE</Generate_TCI_Output>
FALSE: no TCI output, TRUE: store TCI in the IMAGE data directory
<Generate_DDV_Output>FALSE</Generate_DDV_Output>
FALSE: no DDV output, TRUE: store DDV in the QI_DATA directory
```

**NOTE**: the DDV is not an official supported product and is only of interest for expert testing purposes of the algorithm for Aerosol Optical Thickness.

### 3.3 Operation

The processor can be operated in three different ways:

- either as a purely command line driven application;
- or from the Sentinel-2 Toolbox:
- or as a command line driven application inside the PDGS.

**IMPORTANT NOTE**: Older products below PSD 14.6 will no longer be supported. Since Sen2Cor Version 2.10, Sen2Cor converts product below PSD 14.6 to 14.6.

### 3.3.1 Command Line Options since Sen2Cor Version 2.7.0

Typing -h (help) in the console will list all the possible commands/options (See Table below). The following command line features are implemented for PDGS support:

- Support of Datastrip generation mode with L1C input Datastrip;
- Support of Tile processing mode with L1C input Tile;
- L1C Datastrip and Tiles can have either standard SAFE or SAFE compact format as input;
- L2A Datastrip and Tile are formatted in standard SAFE format for output.

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The following command line features are 2.10 implementations for Toolbox:

- Select the previous algorithm Scene Classification (--sc classic);
- Export the Scene Classification in COG format instead of JPEG\_2000 (--sc\_cog).

#### **Command Line Parameters** ("PDGS" mode processing L1C Datastrip and L1C Tile in sequence) usage: L2A\_Process.py [-h] [--mode MODE] [--resolution {10,20,60}] [--datastrip DATASTRIP] [--tile TILE] [--output\_dir OUTPUT\_DIR] [--work\_dir WORK\_DIR] [--img\_database\_dir IMG\_DATABASE\_DIR] [--res\_database\_dir RES\_DATABASE\_DIR] [--processing\_centre PROCESSING\_CENTRE] [--archiving\_centre ARCHIVING\_CENTRE] [--processing\_baseline PROCESSING\_BASELINE] [--raw] [--tif] [--sc\_only] [--sc\_classic] [--sc\_cog] [--cr\_only] [--debug] [--GIP\_L2A GIP\_L2A] [--GIP\_L2A\_SC GIP\_L2A\_SC] [--GIP\_L2A\_AC GIP\_L2A\_AC] [--GIP\_L2A\_PB GIP\_L2A\_PB] input dir Sen2Cor. Version: 2.12.03, created: 2024.09.09, supporting Level-1C product version 14.2 - 14.9. positional arguments: input\_dir Directory of Level-1C input optional arguments: show this help message and exit -h. --help --mode MODE Mode: generate datastrip, process tile --resolution {10,20,60} Target resolution, can be 10, 20 or 60m. If omitted, only 20 and 10m resolutions will be processed --datastrip DATASTRIP Datastrip folder --tile TILE Tile folder --output\_dir OUTPUT\_DIR Output directory --work dir WORK DIR Work directory --img\_database\_dir IMG\_DATABASE\_DIR Database directory for L1C input images --res\_database\_dir RES\_DATABASE\_DIR Database directory for results and temporary products --processing\_centre PROCESSING\_CENTRE Processing centre as regex: $^[A-Z_]{4}$ , e.g "SGS\_" $-- archiving\_centre\ ARCHIVING\_CENTRE$ Archiving centre as regex: $^[A-Z_]{4}$ , e.g. "SGS\_" --processing\_baseline PROCESSING\_BASELINE Processing baseline in the format: "dd.dd", where Export raw images in rawl format with ENVI hdr --tif Export raw images in TIFF format instead of JPEG-2000 --sc\_only Performs only the scene classification at 60 or $20 \mathrm{m}$ resolution Performs scene classification in Sen2Cor 2.9 mode --sc classic Export SCL image in COG format instead of JPEG\_2000 --sc\_cog Performs only the creation of the L2A product tree, no --cr only processing Performs in debug mode --GIP\_L2A GIP\_L2A Select the user GIPP --GIP\_L2A\_SC GIP\_L2A\_SC Select the scene classification GIPP --GIP\_L2A\_AC GIP\_L2A\_AC Select the atmospheric correction GIPP --GIP\_L2A\_PB GIP\_L2A\_PB Select the processing baseline GIPP

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### PDGS mode for GENERATE\_DATASTRIP

Example for command line:

```
L2A_Process.py
--mode=generate_datastrip
--datastrip=<DATASTRIP_EXAMPLE_DIRECTORY>/L1C_DATASTRIP
--work_dir=<WORK_EXAMPLE_DIRECTORY> / temp
--output_dir=<OUTPUT_EXAMPLE_DIRECTORY>/output
--processing_centre=EDRS
--archiving_centre=SGS_
--GIP_L2A_PB=<GIP_EXAMPLE_DIRECTORY>/L2A_PB_GIPP.xml
```

### PDGS mode for PROCESS\_TILE

Example for command line:

```
L2A_Process.py

--mode=process_tile

--datastrip=<DATASTRIP_EXAMPLE_DIRECTORY>/L2A_DATASTRIP

--tile=<TILE_EXAMPLE_DIRECTORY>/L1C_TILE

--work_dir=<WORK_EXAMPLE_DIRECTORY>

--output_dir=<OUTPUT_EXAMPLE_DIRECTORY>

--GIP_L2A_PB=<GIP_EXAMPLE_DIRECTORY>/L2A_PB_GIPP.xml

--img_database_dir=<DB_EXAMPLE_DIRECTORY_1>

--res_database_dir=<DB_EXAMPLE_DIRECTORY_2>

--raw
```

A GIPP 'L2A\_PB\_GIPP.xml' GIPP file of the format below can be provided as input to Sen2Cor as an additional optional command line argument (see paragraph 3.3.2 together with the list of other GIPPs) in order to define the processing baseline identifier of the generated L2A products. Sen2Cor is able to read this new input and use the corresponding value of the processing baseline filed in the relevant metadata and file/folder names of the L2A output product structure. In case the GIPP is not given as input, Sen2Cor uses the processing baseline identifier from the input Level-1C (in the L1C Datastrip metadata file).

#### 3.3.2 Command line Options for TOOLBOX mode

Calling the script L2A\_Process with the option '-h' via command line displays the following menu:

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#### **Command Line Parameters**

("Toolbox" mode using L1C product as input\_dir)

```
usage: L2A_Process.py [-h] [--mode MODE] [--resolution {10,20,60}]
                        [--datastrip DATASTRIP] [--tile TILE]
                       [--output_dir OUTPUT_DIR] [--work_dir WORK_DIR]
                       [--img_database_dir IMG_DATABASE_DIR]
                       [--res_database_dir RES_DATABASE_DIR]
                       [--processing_centre PROCESSING_CENTRE]
                       [--archiving_centre ARCHIVING_CENTRE]
                       [--processing_baseline PROCESSING_BASELINE] [--raw]
                       [--tif] \; [--sc\_only] \; [--sc\_classic] \; [--sc\_cog]
                       [--cr_only] [--debug] [--GIP_L2A GIP_L2A]
[--GIP_L2A_SC GIP_L2A_SC] [--GIP_L2A_AC GIP_L2A_AC]
                       [--GIP_L2A_PB GIP_L2A_PB]
                       input_dir
Sen2Cor. Version: 2.12.03, created: 2024.09.09, supporting Level-1C product
version 14.2 - 14.9.
positional arguments:
  input dir
                         Directory of Level-1C input
optional arguments:
  -h, --help
                         show this help message and exit
  --mode MODE
                         Mode: generate_datastrip, process_tile
  --resolution {10,20,60}
                         Target resolution, can be 10, 20 or 60m. If omitted,
                         only 20 and 10m resolutions will be processed
  --datastrip DATASTRIP
                         Datastrip folder
  --tile TILE
                         Tile folder
  --output_dir OUTPUT_DIR
                         Output directory
    -work_dir WORK_DIR
                         Work directory
  --img_database_dir IMG_DATABASE_DIR
                         Database directory for L1C input images
  --res_database_dir RES_DATABASE_DIR
                         Database directory for results and temporary products
  --processing_centre PROCESSING_CENTRE
                         Processing centre as regex: ^[A-Z_]{4}$, e.g "SGS_"
  --archiving_centre ARCHIVING_CENTRE
                         Archiving centre as regex: ^[A-Z_]{4}$, e.g. "SGS_"
  --processing_baseline PROCESSING_BASELINE
                         Processing baseline in the format: "dd.dd", where
                         d=[0:9]
  --raw
                         Export raw images in rawl format with ENVI hdr
                         Export raw images in TIFF format instead of JPEG-2000
  --tif
                         Performs only the scene classification at 60 or 20m
  --sc only
                         resolution
                         Performs scene classification in Sen2Cor 2.9 mode
  --sc_classic
                         Export SCL image in COG format instead of \ensuremath{\mathtt{JPEG\_2000}}
  --sc_cog
  --cr_only
                         Performs only the creation of the L2A product tree, no
                         processing
                         Performs in debug mode
  --debug
  --GIP_L2A GIP_L2A
                         Select the user GIPP
  --GIP L2A SC GIP L2A SC
                         Select the scene classification GIPP
  --GIP_L2A_AC GIP_L2A_AC
                         Select the atmospheric correction GIPP
  --GIP_L2A_PB GIP_L2A_PB
                         Select the processing baseline GIPP
```

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### **Usage in Toolbox mode:**

The <input\_dir> argument is **mandatory** in Toolbox mode and can be either a relative or an absolute pathname. Input is expected to be a L1C product directory in compact SAFE format. Output will also be formatted as compact SAFE.

**NOTE**: Older versions (L1C products with Processing Baseline <= N0204) having the standard SAFE format are no longer supported.

If a relative pathname is given, it is expected that the user is calling Sen2Cor from inside a parent directory. Sen2cor will expand the absolute pathname for that directory.

The pathname shall point to a L1C user product.

Sen2cor will use the L1C user product identifier for generating a subsequent L2A product. For this purpose, the L1C source directory must start with an identifier like 'S2[A|B]\_\*L1C\*' which is the standard, if you download a L1C user product from the Sentinel 2 data hub. The generated product will get the identifier 'S2[A|B]\_\*L2A\*', and the current timestamp in UTC format. Everything else will be inherited from the L1C source.

The output directory for the L2A data selected by the command line, giving an absolute path for the target directory. By default, the processor will create the output product in the same directory where the L1C user product is created, but replacing "L1C" with "L2A" and updates the timestamp for the generation time

**--resolution** is the target resolution for the product to be processed. See section 2.4.1 for details. If resolution is omitted, all resolutions will be generated (providing that the option **Downsample\_20\_to\_60** be selected in the L2A GIPP.xml).

### On L1C User Product Level:

#### Absolute path:

L2A\_Process </the\_L1C\_product\_directory>/<the\_L1C\_product\_in\_short\_naming\_convention> --resolution=60

#### **Relative Path** (the command must be called from inside the user product directory):

L2A Process <the L1C product in short naming convention> --resolution=60

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#### Files:

lib/python2.7/site-packages/sen2cor/L2A\_CogConverter.py

lib/python2.7/site-packages/sen2cor/L2A\_Config.py

lib/python2.7/site-packages/sen2cor/L2A\_KTL\_Tracker.py

lib/python2.7/site-packages/sen2cor/L2A\_Library.py

lib/python2.7/site-packages/sen2cor/L2A\_Logger.py

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lib/python2.7/site-packages/sen2cor/L2A\_ProcessDataStrip.py

lib/python2.7/site-packages/sen2cor/L2A\_ProcessTilePDGS.py

lib/python2.7/site-packages/sen2cor/L2A\_ProcessTileToolbox.py

lib/python2.7/site-packages/sen2cor/L2A\_Quality.py

lib/python2.7/site-packages/sen2cor/L2A\_SceneClass.py

lib/python2.7/site-packages/sen2cor/L2A\_SceneClass\_evolution.py

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### **Optical MPC**

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